



## Cell Therapy Data Collection Guidelines for DISEASE FORMS

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## INTRODUCTION

These guidelines refer to the Disease Classification and Disease specific forms and are intended to accompany the CORE Forms guidelines.

### Forms required at registration

The questions relating to the diagnosis, disease characteristics and the disease response (prior to the infusion) are captured on two forms in REDCap to align with the CIBMTR forms:

- **Part A:** Disease Classification Form (*CIBMTR Form 2402*)
- **Part B:** Disease Specific Forms:
  - ALL Pre-Infusion (*CIBMTR Form 2011*)
  - Lymphoma Pre-Infusion (*CIBMTR Form 2018*)
  - Myeloma Pre-Infusion (*CIBMTR Forms 2016*)
  - CLL (*CIBMTR 2013*) to come

Please note that the pdf version of the ANZTCT disease forms contain content from Disease Classification (Part A) and the Disease Specific Form (Part B) on the same form to assist with streamlining data collection processes. These pertain to the disease prior to the cell infusion.

ANZTCT Registry pdf form

**Acute Lymphoblastic Leukaemia Pre-Infusion**

PATIENT IDENTIFICATION

Hospital: AID (ABMTRR id): \_\_\_\_\_  
 UPN: DOB: \_\_\_\_/\_\_\_\_/\_\_\_\_  
 Name ID: Infusion date: \_\_\_\_/\_\_\_\_/\_\_\_\_

**PART A : DISEASE CLASSIFICATION**

**PART B: ACUTE LYMPHOBLASTIC LEUKAEMIA PRE-INFUSION**

### Forms required at follow up

These are required at 30 days, 100 days, 6 months, and then annually thereafter.

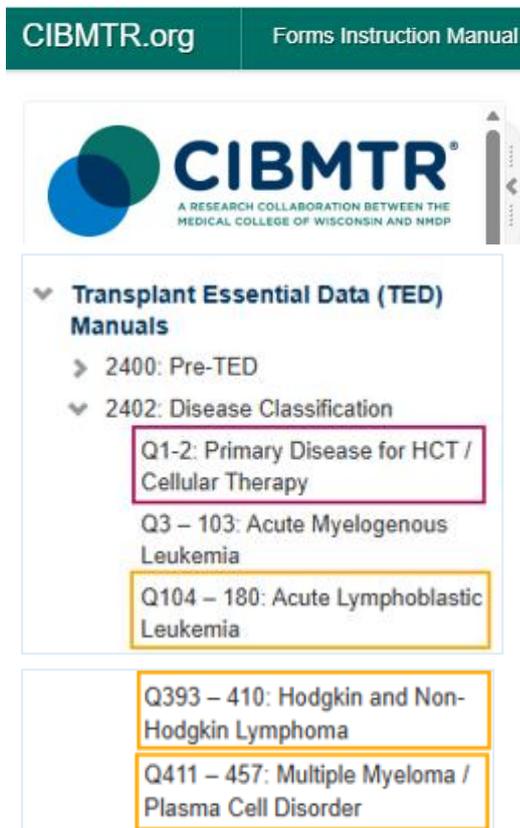
- ALL (Acute Lymphoblastic Leukaemia) Post Infusion
- Lymphoma Post Infusion
- Myeloma Post Infusion

These capture the best disease response to the cell therapy and any relapses or disease progression along with associated disease management.

In these guidelines, the explanation or definition of every field may not be included if they seem self-explanatory. For the comprehensive guidelines and definitions please refer to the CIBMTR Instruction Manual website: [www.cibmtr.org/manuals/fim](http://www.cibmtr.org/manuals/fim) (as described below)

**Part A:** Disease Classification Forms (*CIBMTR Form 2402*) as shown on the CIBMTR website

Link to: [2402: Disease Classification - Forms Instruction Manual - 1](#)



The screenshot shows the CIBMTR.org website's Forms Instruction Manual. The header includes the CIBMTR logo and the text "A RESEARCH COLLABORATION BETWEEN THE MEDICAL COLLEGE OF WISCONSIN AND NMDP". Below the header is a navigation menu for "Transplant Essential Data (TED) Manuals". The menu is structured as follows:

- Transplant Essential Data (TED) Manuals
  - > 2400: Pre-TED
  - ▼ 2402: Disease Classification
    - Q1-2: Primary Disease for HCT / Cellular Therapy
    - Q3 – 103: Acute Myelogenous Leukemia
    - Q104 – 180: Acute Lymphoblastic Leukemia
    - Q393 – 410: Hodgkin and Non-Hodgkin Lymphoma
    - Q411 – 457: Multiple Myeloma / Plasma Cell Disorder

**Part B: Disease Specific Forms:**

Link to: [Comprehensive Disease-Specific Manuals - Forms Instruction Manual - 1](#)

The image shows a screenshot of a web interface with a list of disease-specific manuals. The list is titled "Comprehensive Disease-Specific Manuals" and includes several entries. The entry "2018/2118: Hodgkin and Non-Hodgkin Lymphoma" is expanded, showing a detailed list of sections and question numbers. A blue arrow points from the text "Click on '>' to expand the form to view the questions" to the right-pointing chevron next to the "2018/2118: Hodgkin and Non-Hodgkin Lymphoma" entry. Another blue arrow points from the expanded entry to the right, where a detailed view of the entry is shown.

**Comprehensive Disease-Specific Manuals**

- > 2010/2110: Acute Myelogenous Leukemia (AML)
- > 2011/2111: Acute Lymphoblastic Leukemia (ALL)
- > 2012/2112: Chronic Myeloid Leukemia (CML)
- > 2013/2113: Chronic Lymphocytic Leukemia (CLL)
- > 2014/2114: Myelodysplastic Syndrome (MDS)
- > 2015/2115: Juvenile Myelomonocytic Leukemia (JMML)
- > 2016/2116: Plasma Cell Disorders (PCD)
- > 2018/2118: Hodgkin and Non-Hodgkin Lymphoma

Click on '>' to expand the form to view the questions

2018/2118: Hodgkin and Non-Hodgkin Lymphoma
 

- Lymphoma Response Criteria
- > 2018: LYM Pre-Infusion
  - Subsequent Transplant or Cellular Therapy
  - Q1 – 55: Disease Assessment at Diagnosis
  - Q56 – 68: Laboratory Studies at Diagnosis
  - Q69 – 81: Assessment of Nodal and Organ Involvement at Diagnosis
  - Q82 – 139: Disease Assessment at Transformation

Refer to the section headings only  
(the question numbers correspond to the CIBMTR forms only)

**Note:**

- Forms 2011, 2016, 2018 represent the pre-infusion forms
- Forms 2111, 2116, 2118 represent the post infusions forms

## GENERAL GUIDELINES FOR COMPLETING FORMS

The pdf versions of the forms are available at: <https://anztct.org.au/registry/data-management-resources/>

These may be used as a guide to assist with the data collection. Please note that not all options to the questions (as they appear in REDCap) are shown on these forms.

### Guide for entering data

Date fields

Dates are entered as: dd/mm/yyyy

Please do not leave these blank if an estimate can be entered, using the following guidelines:

- only the month and year is known - enter as 15/mm/yyyy
- only the year is known - enter as 01/07/yyyy

In some cases, this rule may not make sense e.g., the diagnosis is in May and it is known that treatment was started on a given date in mid-May, then the diagnosis date may be entered as the 1<sup>st</sup> May.

### Reporting lab values <, > and range of numbers.

Fields that are set as accepting numbers only will not accept '<' or '>' characters. Please report these results as described below:

- For < Less Than values:  $n - 1$
- For > Greater Than values:  $n + 1$
- When a value is reported as a range of numbers, report the median value of the range

Examples:

- Reporting <5% blasts in the bone marrow should be reported as 4% blasts
- Chimerism results indicating >95% donor cells should be reported as 96% donor cells
- 60-70% blasts in the bone marrow should be reported as 65% blasts

A fraction is given as 45-50%. If required to report a whole number, the median value is 47.5%, then apply rounding to give a value of 48%

## DISEASE CLASSIFICATION FORM (PART A)

### Reporting Subsequent Infusions

If this form has been submitted to the ANZTCT Registry for a previous cell therapy infusion for the same indication, only report assessments that have occurred since that infusion.

If the subsequent infusion is given for relapse or progression of the same indication, then report the assessments at the time of relapse/progression as the in between timepoint.

### DIAGNOSIS

#### Date of diagnosis

This is the date of diagnosis of the indication for the cell infusion, e.g., if the CAR-T is given for diffuse large B cell lymphoma which had transformed, then this is the date of the DLBCL diagnosis i.e., the date of transformation.

#### Primary Disease for HCT / Cell Therapy

Associated questions will display when the **Primary Disease for HCT / Cell Therapy** is selected as follows:

### ACUTE LYMPHOBLASTIC LEUKAEMIA

#### ALL Classification

Report cytogenetic or molecular abnormalities at diagnosis where possible. Only select 'ALL NOS' if no information is known.

**Did recipient have predisposing condition**

**Tyrosine kinase inhibitors given any time prior to preparative regimen/infusion**

#### Disease Assessments

Report assessments at three time points prior to the infusion, where available

- at diagnosis, before any treatment
- between diagnosis and latest prior to infusion
- latest assessments prior to infusion, within 30 days of lymphodepletion/infusion

Assessments include cytogenetic (karyotyping and FISH) and molecular testing.

**CNS disease** - at any time prior to the infusion.

#### Disease Status at Infusion and the date assessed.

Refer to the ALL Response criteria in the appendix (or CIBMTR Instruction Manual)

If complete remission was achieved, the following question(s) are relevant:

**Number of cycles of induction to achieve CR1 (incl CRi)**

**Methods used to assess MRD at infusion**

**MRD was detected (by the method indicated in the preceding question)**

If in relapse, then the following question displays

**Date of most recent relapse**

## NON-HODGKIN LYMPHOMA

Report the lymphoma histology at the time of the cell therapy infusion.

Select a diagnosis from one of these categories: NHL B cell, NHL T cell or PTLN classification

If the lymphoma has transformed, the prior histology is reported in the subsequent questions.

### DLBCL subtype was based on

This question will display if the diagnosis is Diffuse large B-cell lymphoma is selected.

#### Transformed from CLL

##### 17p abnormality detected

#### Transformed from different lymphoma histology (non CLL)

##### Prior histology

##### Date of original diagnosis

A transformation may occur after or at the same time as the initial lymphoma diagnosis.

#### PET (or PET/CT) scan performed - prior to start of preparative regimen/infusion

This is the latest scan performed within three months before commencement of the lymphodepletion /cell therapy infusion, regardless of any additional therapy given after this scan

##### PET (or PET/CT) scan positive

##### Date PET (or PET/CT) scan

Deauville (five-point) score - report the highest score if there are multiple values.

### Lymphoma Disease Status prior Infusion

Refer to the Lymphoma Response Criteria in the appendix (or CIBMTR Forms Instruction Manual)

Use the metabolic (PET) criteria where possible to assess the disease status. If the PET scan is not available or is non-PET avid disease, then the radiographic criteria can be used.

- Compare the assessments at baseline (at diagnosis) and immediately prior to the cell therapy to determine the disease status.
- If this is a subsequent infusion treating disease relapse or progression, then the baseline is at time of relapse or progression.
- If a transformation has occurred, then count the response number (e.g., CR1, CR2) for the transformed histology only.

#### Date assessed (disease status)

#### Number of lines of therapy received: (between diagnosis and infusion)

Therapies/agents given during the same period with the same intent is considered one therapy line. If the patient does not achieve adequate response, they may be given different agents which would then be reported as a separate therapy line.

Count number of lines given after the original lymphoma diagnosis up to the cell therapy infusion.

This includes the lines given prior to any disease transformation including follicular lymphoma and CLL and also including bridging therapy.

## DISEASE SPECIFIC FORMS (PART B)

### ALL (Acute Lymphoblastic Leukaemia) PRE-INFUSION

If this form has been completed for a previous cell therapy infusion, go directly to Section 2 - Disease Prophylaxis prior Preparative regimen/Infusion.

#### 1. ASSESSMENTS AT DIAGNOSIS

Please ensure that the values are reported by the units indicated

**WBC x10<sup>9</sup>/L**

**% blasts blood**

**% blasts in BM**

**Extramedullary disease, indicate sites**

#### 2. DISEASE PROPHYLAXIS PRIOR PREPARATIVE REGIMEN OR INFUSION

Indicate if CNS prophylaxis given e.g., cranial irradiation, intrathecal therapy

#### 3. DISEASE TREATMENT PRIOR PREPARATIVE REGIMEN OR INFUSION

Report treatment given between the ALL diagnosis and the start of preparative treatment or infusion.

If no treatment was given, go directly to Section 4.

Therapies/agents given during the same period with the same intent e.g., induction, is considered one line of treatment. Additional courses of the induction therapy may be given. These are reported as an additional cycle within the same treatment line. If the patient does not achieve adequate response, they may be given different agents which would then be reported as a separate treatment line.

Do not report a prior HCT alone as a line of treatment.

#### Treatment 1 given

Selecting 'Yes' will display the following associated fields:

#### Therapy type

Therapy type (or purpose) is dependent on the disease status at the time:

- Induction – to achieve complete remission (CR)
- Consolidation - once achieving CR; given either as part of a protocol or to achieve a deeper response, removing any minimal residual disease.
- Maintenance – after receiving induction and consolidation, extended low dose therapy to maintain CR.
- Relapse treatment – given to achieve a further CR after disease recurrence

**Intrathecal therapy: Y | N**

Agents given by lumbar puncture, delivered directly to the cerebrospinal fluid to treat or prevent CNS disease.

**Systemic therapy**

**Dates started and ended**

**Number of cycles**

**Specify systemic agents**

**Radiation therapy**

**Date started and ended**

**Radiation site**

**Best response to line of therapy**

**Date assessed**

Refer to the ALL Response criteria in the appendix (or CIBMTR Instruction Manual)

**MRD negative following this line of therapy: Y | N**

This should be based on results performed within 30 days after therapy was completed for this treatment line and before a new line commences.

**Recipient relapsed following this line of therapy: Y | N**

**Date relapsed**

**Site of relapse**

Complete this section as many times as required for multiple lines of therapy

**4. EVALUATIONS PRIOR TO START OF PREPARATIVE REGIMEN OR INFUSION**

Values should be within approximately 30 days prior to preparative regimen/infusion, but after completion of any treatment. If this is not available, then report as unknown.

Values and date of sample for the following:

**WBC x10<sup>9</sup>/L**

**% blasts blood**

**% blasts in BM**

**Flow cytometry performed**

Report the percent of disease detected by flow cytometry in blood and bone marrow if performed and the date of the sample

**Extramedullary disease present**

Report sites of disease involvement other than in the blood or bone marrow

# LYMPHOMA PRE-INFUSION

PART B of the Lymphoma Pre-Infusion Form pdf version.

If this form has been completed for a previous cell therapy infusion, then skip the questions at the time of diagnosis and go directly to Section 4 - Disease Transformation

## 1. DIAGNOSIS (PRIOR TO ANY TRANSFORMATION)

### Lymphoma histology at diagnosis

If the diagnosis is transformed from CLL to Lymphoma, then report the lymphoma here.

If the diagnosis is more than one type of lymphoma or has transformed, then report the least aggressive lymphoma here, and the most aggressive lymphoma as the transformed histology in Section 4.

### Immunohistochemical stains performed

If the percent is documented as a range, then report the average. If documented as less than a certain percentage, then report as less one, e.g., report <10% as 9%.

### Were cytogenetics performed

Report any abnormalities by FISH or karyotyping

## 2. LABORATORY VALUES AT DIAGNOSIS

Please ensure that the values are reported by the units indicated.

The lab values are required for specific lymphoma diagnoses as indicated.

## 3. NODAL AND ORGAN INVOLVEMENT AT DIAGNOSIS

Results reported here should be within 30 days of the lymphoma diagnosis reported in the prior section and performed before any treatment is given. If tests were performed outside of this period, 'Unknown' should be reported.

**PET (or PET/CT) positive: Y | N | ND**

### Known nodal involvement

Nodal involvement can be found by clinical assessment, biopsy or PET/CT imaging. Complete the following if there was involvement

#### Total number nodal regions involved

This question appears twice with different options to select depending if is follicular or non-follicular lymphoma

#### Largest nodal mass

Report the largest two dimensions in cm

**Extranodal or splenic involvement**

Report any involvement outside of the lymph nodes e.g., spleen, bone, GIT, skin

**Stage of organ involvement****B symptoms present****ECOG score**

Performance scores should be documented in the patient's notes rather than derived retrospectively.

**4. DISEASE TRANSFORMATION**

The first two questions have also been included in Part A

**Transformation from CLL? Y | N**

If yes, then skip the remaining transformation sections and go to Section 7 Disease Treatment

**Transformation occurred (non CLL)**

If no transformation, then go to Section 7 - Disease Treatment

**Specify the histology** - at transformation

**Transformation pathology submitted to Registry**

**Transformation date same as diagnosis date**

This question establishes if the histology information has already been captured in earlier sections

- Yes (concurrent diagnosis) - go to Section 7 Disease Treatment
- No -> Date of transformation

Complete the rest of this section and sections 5 and 6

**Immunohistochemical stains performed**

Indicate if the listed markers were positive/negative or unknown

**Were cytogenetics tested**

Report any abnormalities by FISH or karyotyping

**5. LABORATORY VALUES AT TRANSFORMATION**

Values reported here should be within 30 days of the transformed lymphoma reported in the prior section and performed before any treatment is given. If tests were performed outside of this period, 'Unknown' should be reported.

Please ensure that the values are reported by the units indicated

The lab values are required for specific lymphoma diagnoses.

## 6. NODAL, ORGAN INVOLVEMENT AT TRANSFORMATION

Results reported here should be within 30 days of the transformation reported in the prior section and performed before any treatment is given. If tests were performed outside of this period, 'Unknown' should be reported.

Refer to Section 3 for the guidelines to the questions below

- PET (or PET/CT) positive**
- Known nodal involvement**
- Total number nodal regions involved**
- Largest nodal mass (max dimensions)**
- Extranodal / splenic involvement?**
- Stage of organ involvement**
- B symptoms present 6months prior transform**
- ECOG score**

## 7. DISEASE TREATMENT

Report treatment given between the lymphoma diagnosis and the start of preparative treatment or infusion.

If there was a lymphoma transformation, report all treatment given starting from the original lymphoma diagnosis.

Complete this section as many times as required for each line given.

Therapies/agents given during the same period with the same intent is considered one therapy line. If the patient does not achieve adequate response, they may be given different agents which would then be reported as a separate therapy line.

If this is a subsequent infusion and the treatment lines have been previously reported with an earlier cell therapy infusion, only report treatment lines given after the prior cell therapy infusion.

**Treatment was given after diagnosis: Y | N**

**Systemic therapy: Y | N**

**Date started and stopped**

**Number of cycles**

**Specify regimen/agents**

**This therapy line given to mobilised cells: Y | N**

**Intrathecal therapy: Y | N**

**Indicate if given for prophylaxis or treatment of CNS disease or unknown.  
Date started and stopped  
Specify agent given**

**Intraocular therapy: Y | N**

**Indicate if given for prophylaxis or treatment of CNS disease or unknown.  
Date started and stopped  
Specify agent given**

**Radiation therapy: Y | N**

**Date started and stopped  
Extent of radiation field  
Radiation sites  
Radiation dose**

**Technique - e.g. Electron beam, Proton or specify other**

**Surgery Y | N**

**Date of surgery  
Splenectomy, and other site(s)**

**Photopheresis: Y | N**

**Cell therapy: Y | N – this question is no longer required to be reported as a line of therapy**

**Best response to line of therapy: (Radiographic criteria)**

CR | PR | NR/SD | PD | Not done Date assessed

**Best response to line of therapy: (Metabolic criteria)**

CR | PR | NR/SD | PD | Not done Date assessed

Refer to the CIBMTR Lymphoma Response Criteria in the appendix (or CIBMTR Instruction Manual)

**This therapy given as maintenance / consolidation: Y | N**

Definitions for consolidation and maintenance as follows:

- Consolidation – given after achieving CR; either as part of a protocol or to achieve a deeper response.
- Maintenance – given after induction and consolidation, extended low dose therapy to maintain CR.

**This line of therapy given as bridging to cell infusion**

Please ensure that the date of treatment commencement is the same as the date reported on the Cell Therapy Pre-Infusion form (section 8 Bridging therapy)

**Relapse/progression occurred after this therapy line**

If yes, report the date relapse/progression

## 8. DIFFUSE LARGE B-CELL LYMPHOMA ONLY

### Achieved CR after 1st line of therapy?

If Yes, go directly to Section 9

If No, then follow questions will show

**LDH and the lab's upper normal limit of LDH**

**Stage of organ involvement**

**ECOG score**

**Extranodal or splenic involvement**

Refer to Section 3 for the guidelines

These assessments should be between the first and second treatment lines. If a second line is not given, then report the assessments prior to preparative therapy/infusion, using the most recent if assessed more than once.

## 9. DISEASE ASSESSMENT AT LAST EVALUATION - PRIOR TO PREPARATIVE REGIMEN / INFUSION

Results reported here should be within approximately 30 days prior to starting preparative therapy/infusion, but after the latest treatment line if applicable. If tests were performed outside of this period, 'Unknown' should be reported.

### Were cytogenetics performed

Report any abnormalities by FISH or karyotyping

### Laboratory values

Provide values for the lymphoma types (as specified in the NHL, B-cell classification question)

**Haemoglobin** – Follicular and Hodgkins only

**Absolute lymphocyte count** – Hodgkins only

### Minimal residual disease

Report if any of the following assessments were positive for detecting minimal residual disease.

**Flow cytometry**

**PCR**

**NGS, 3rd gen (Next Generation Sequencing)**

If positive:

**Date of sample**

**Sample source** - e.g. blood, bone marrow

**Pathology report(s) submitted to Registry: Y | N**

Refer to Section 3 for the guidelines to the questions below

**Known nodal involvement**

**Total number nodal regions involved** - report for Follicular only

**Largest nodal mass** (maximum dimensions)

**Extranodal or splenic involvement**

Report the sites involved

## DISEASE SPECIFIC POST INFUSION FORMS

### ALL (Acute Lymphoblastic Leukaemia) POST INFUSION

#### Follow up period

30day, 100 day, 6 months, annual

#### 1. BEST RESPONSE TO HCT OR CELLULAR THERAPY

This is the **best response achieved from the CAR-T therapy**.

Any disease relapse that occurs afterwards does NOT change the best response.

For example, if complete remission is achieved as a response to the CAR-T and the disease later relapses, then the best response is still the complete remission. Relapse is reported in Section 3

The option 'Continued complete remission' should only be selected if the disease status prior to the infusion is Complete remission.

If in Continued CR or Date of best response previously reported, go directly to Post Infusion Therapy section.

Do not include the response to therapy given for relapse / persistent / progressive disease

#### Date of best response

Report the earliest date that the best response was first documented e.g. date of bone marrow/biopsy sample. If this is not available, the date of review if the response was assessed clinically may be used.

#### Tests performed at time of best response

These questions will display if this is the first time reporting the best response to cell therapy, i.e. reporting the date of best response for the first time (otherwise report 'previously reported')

The assessments should be within the time frame as follows:

30 day, 100 day, 6 month Follow Up: +/- 15 days of date of best response

Annual Follow Up: +/- 30 days of date of best response

#### Molecular testing performed? (Positive/Negative/not done)

- BCR/ABL
- TEL-AML/AML1
- Other markers

#### Flow cytometry performed

- Disease detected in blood/bone marrow
- Date of sample
- Percentage disease detected

#### Were cytogenetics tested

- FISH/Karyotyping
- Specify abnormalities

#### Disease status by another method

- Date assessed
- Disease detected

## 2. POST INFUSION THERAPY

### Therapy given since last report

Therapy given as prophylaxis, maintenance or consolidation is reported here. This maybe planned as part of the cell therapy protocol.

Therapy given for relapse/progression or persistent disease (including treatment for minimal residual disease) is reported in Section 4

#### CNS irradiation

##### Cranial or craniospinal

#### Intrathecal therapy

#### Systemic therapy – date started and agents

#### Cell therapy - do not report HCT here

#### Other therapy - specify

## 3. DISEASE DETECTION SINCE LAST REPORT

This section is intended to capture information only on recipients who relapse, have persistent or minimal residual disease in this reporting period.

#### Disease was detected by any assessment method

Answer 'No' if there is no relapse or persistent disease in this reporting period and continue to Section 4.

Answer 'Yes' if disease has relapsed, or persistent or minimal residual disease is present and complete the following where appropriate

#### Molecular testing (Positive/Negative/not done)

- Date of sample

- BCR/ABL
- TEL-AML/AML1
- Other markers

#### Flow cytometry

- Disease detected in blood/bone marrow
- Date of sample
- Percent disease detected

#### Cytogenetic testing

- FISH/Karyotyping
- Date of sample and specify abnormalities

#### Clinical /haematological assessment

Date assessed and sites involved

#### Disease status by another method

- Date assessed and specify method

Report the earliest date of disease detection for each method performed.

## 4. THERAPY GIVEN TO TREAT RELAPSED, PERSISTENT OR MINIMAL RESIDUAL DISEASE

Treatment given post-infusion for minimal residual disease, persistent disease, or relapse since the date of last report is reported in this section

### Therapy was given to treat disease

#### Reason therapy given

Report the scenario that applies

- **Minimal Residual Disease:** Recipient is in haematologic CR, but has evidence of disease detectable by more sensitive assessments e.g. molecular, flow cytometry or cytogenetics.
- **Persistent Disease:** The recipient was in primary induction failure or relapse at the time of infusion and has not achieved a haematologic CR post-infusion.
- **Relapsed Disease:** The recipient was either in CR at the time of infusion or had achieved a CR post-infusion, relapsing post infusion.

#### CNS irradiation

#### Intrathecal therapy

#### Systemic therapy

Date first started and agents given

#### Cell therapy

**Subsequent HCT**

If 'Yes' to either of these, an additional cell therapy or transplant form is required.

**Accelerated withdrawal of immunosuppression in response to disease**

Indicate if the immunosuppression was withdrawn to promote graft versus leukaemia effect.

**Other therapy - specify**

If multiple lines of therapy were given in this reporting period, duplicate sections are available to capture each therapy line.

**5. DISEASE EVALUATION FOR THIS REPORTING PERIOD**

This section is intended to capture further assessments if the disease status has changed since the assessments in Section 3 (Disease Detection since last report).

Latest disease status is same as reported in Section 3, without subsequent treatment (as reflected by the assessments reported in Section 3)

**Yes – go directly to Section 6**

Choose this option if:

- disease was detected in this reporting period and no therapy was given between dates in section 3 and the latest date of contact for this report
- disease was detected and reported in section 3, treatment was given but no assessments performed before the latest date of contact.

**No – complete this section**

Choose this option if:

- disease was not detected during this reporting period. Enter assessment results here as no results were entered in section 3
- disease was detected and reported in Section 3, treatment was given and disease was re-assessed.

**N/A, disease not assessed – end of form**

- Only choose this option if there were no assessments performed, including clinical assessments.

**Molecular testing performed**

- **BCR/ABL**
- **TEL-AML/AML1**
- **Other markers**

**Flow cytometry performed**

- **Disease detected in blood/bone marrow**
- **Date of sample, percentage disease detected**

**Were cytogenetics tested**

- FISH/Karyotyping
- Specify abnormalities

**Clinical /haematological assessment**

- Date assessed
- Disease detected

**Disease status by another method**

- Date assessed
- Disease detected

## 6. CURRENT DISEASE STATUS

This is the haematologic disease status at the latest disease assessment in this reporting period. Refer to the ALL Response Criteria in the appendix (or CIBMTR Instruction Manual)

**Current disease status**

Complete remission or No complete remission

**Date assessed**

This date should be the approximately within 30 days from the date of contact.

## LYMPHOMA POST INFUSION

### Follow up period

30day, 100 day, 6 months, annual

### 1. BEST RESPONSE TO CELL INFUSION SINCE LAST REPORT

This is the best response achieved from the CAR-T therapy.

Any disease relapse that occurs afterwards does NOT change the best response.

For example, there is a complete or partial response to CAR-T and the disease progresses, then the best response is still the complete or partial response. Disease progression is reported in Section 3.

#### Best response by CT (radiologic) criteria since last report

#### Best response by PET (metabolic) criteria since last report

Include the response to therapy given for post cell infusion maintenance, consolidation or persistent disease as the best response. Do not include response to any therapy given for disease relapse or progression post-infusion.

To determine the best response, compare the post-infusion disease status to the disease status immediately prior to the lymphodepletion.

The option 'Continued complete remission' should only be selected if the disease status prior to the infusion is Complete remission.

Refer to the Lymphoma Response Criteria in the appendix (or CIBMTR Instruction Manual)

#### Date assessed

Report the earliest date that the best disease status achieved was obtained or tick the 'previously reported' checkbox if this is not the first follow up reporting this date.

The assessments should be within the time frame as follows:

30 day, 100 day, 6 month Follow Up: +/- 15 days of date of best response

Annual Follow Up: +/- 30 days of date of best response

#### Minimal Residual Disease assessed at time of best response

If the response to the above questions are 'Continued CR' or 'Not assessed', skip these questions and go to Section 2.

#### Flow cytometry

#### PCR

#### Next generation sequencing

**Sample Source and date sample collected for the assessments above**

## 2. POST HCT / INFUSION THERAPY

Report therapy given for maintenance, consolidation, and persistent disease (including MRD) since last report.

Do NOT include therapy for relapse or progressive disease.

### Therapy given since last report

#### Systemic therapy

Date stated and stopped,

Specify agent

Reason therapy stopped

Part of clinical trial, trial id

#### Radiation therapy

Cellular therapy – additional cell therapy forms required

Other therapy, specify

If multiple lines of therapy were given for in this reporting period, duplicate sections are available for reporting each line.

## 3. DISEASE RELAPSE OR PROGRESSION SINCE LAST REPORT

If relapse or progression occurred, complete the following

### Relapse or progression occurred

#### Molecular testing

Date sample

#### Cytogenetic testing

FISH/Karyotyping

Date sample

#### Radiological assessment

Date assessed

#### Clinical/haematologic assessment

Date assessed

Nodal involvement

Extranodal or splenic involvement and sites

If a recipient 'relapses' post-infusion with a less severe type of lymphoma (i.e. received an infusion for DLBCL, with or without a history of follicular lymphoma, and relapses with follicular lymphoma).

Then the relapse of the 'less severe lymphoma' should be reported as a relapse in the follow up forms.

## 4. THERAPY FOR RELAPSE OR PROGRESSION

### Therapy given for relapsed, progressive or minimal residual disease

Report any treatment was given for relapsed or disease progression.

Treatment may also be given for minimal residual disease (MRD), but only report this if the MRD is new. Do not include here if it was existing at the time of cell therapy as this is reported in Section 2.

If multiple lines of therapy were given for relapse or progression in this reporting period, duplicate sections are available for reporting each line.

### Reason therapy given

Report the scenario that applies

- **Relapsed Disease:** The recipient was either in CR at the time of infusion or had achieved a CR post-infusion, then relapsed post infusion.
- **Progressive Disease:** Disease progressed following a period of stable disease or after achieving a partial remission.
- **Minimal Residual Disease:** Recipient is in haematologic CR, but has evidence of disease relapse detectable by more sensitive assessments e.g. molecular, flow cytometry or cytogenetics. Do not report MRD that has persisted from prior to the infusion.

### Systemic therapy

**Date started and stopped**

**Specify agents given**

**Therapy part of clinical trial, Trial ID**

### Intrathecal therapy

**Date started and stopped**

**Therapy**

### Intraocular therapy

**Date started and stopped**

**Therapy**

### Radiation therapy

### Cell therapy

complete new Cell Therapy Forms

### Other therapy – specify therapy

### Best response to line of therapy by CT (radiologic) criteria

**Date assessed**

### Best response to line of therapy by PET (metabolic) criteria

**Date assessed**

Refer to the Lymphoma Response Criteria in the appendix (or CIBMTR Instruction Manual)

**5. DISEASE STATUS AT TIME OF EVALUATION FOR THIS REPORTING PERIOD**

This is the disease status at the latest disease assessment in this reporting period.

**Current disease status by CT (radiologic) criteria****Date assessed****Current disease status by PET (metabolic) criteria****Date assessed**

Disease specific assessments (CT or PET scans) do not need to be repeated at each reporting period to report the current disease status.

Once a particular disease status is achieved, this disease status can continue to be reported again until there is evidence of relapse or progression.

Report “Not assessed” only when the recipient relapses or progresses and receives treatment for the relapse / progression, and an additional CT scan was not performed post therapy to assess the disease status.

**Deauville Score**

This is captured prior to the infusion on the Disease Classification form and at 12 months post infusion. This is a five-point score obtained from the PET report.

**Date assessed**

## APPENDIX

### ALL RESPONSE CRITERIA

#### Complete Remission (CR)

Haematologic CR is defined as meeting all of the following criteria for at least four weeks.

- < 5% blasts in the bone marrow
- Normal maturation of all cellular components in the bone marrow
- No extramedullary disease (e.g., CNS, soft tissue disease)
- Neutrophils  $\geq 1.0 \times 10^9/L$
- Platelets  $\geq 100 \times 10^9/L$
- Transfusion independent

If there has not been a four-week interval between completion of therapy and the pre-transplant disease assessment, then CR may be reported as the status at transplant, since it represents the “best assessment” prior to HCT.

Include recipients who are MRD positive/unknown. MRD assessments include cytogenetic, flow cytometry, and molecular methods.

Alternative, post-transplant CR criteria are accepted in the setting of paediatric ALL when the centre does not routinely perform bone marrow biopsies post-transplant and the patient was in CR pre-transplant. These criteria are not used for pre-transplant ALL disease status. The criteria are as follows:

- Complete donor chimerism ( $\geq 95\%$  donor chimerism without recipient cells detected)
- No extramedullary disease (e.g., CNS, soft tissue disease)
- Neutrophils  $\geq 1.0 \times 10^9/L$
- Platelets  $\geq 100 \times 10^9/L$
- Transfusion independent (a minimum of four weeks without platelet or RBC transfusion)

The number of this complete remission can be determined by using the following guidelines:

- 1st CR: no prior relapse
- 2nd CR: one prior relapse
- 3rd or higher: two or more prior relapses

#### Complete Remission with Incomplete Hematologic Recovery (CRi)

Haematologic CRi is defined as meeting all of the following criteria for at least four weeks:

- < 5% blasts in the bone marrow
- Normal maturation of all cellular components in the bone marrow
- No extramedullary disease (e.g., CNS, soft tissue disease)
- Transfusion independent (a minimum of four weeks without platelet or RBC transfusion)  
(Please note, if the physician documents transfusion dependence related to treatment and not the patient’s underlying ALL, then CR should be reported)

#### Primary Induction Failure (PIF)

The patient received treatment for ALL but never achieved CR or CRi at anytime. PIF is not limited by the number of unsuccessful treatments; this disease status only applies to recipients who have never been in CR or CRi.

### **Relapse (REL)**

Relapse is defined as the recurrence of disease after CR, meeting at least one of the following criteria:

- $\geq 5\%$  blasts in the marrow or peripheral blood
- Extramedullary disease
- Disease presence determined by a physician upon clinical assessment

Do not include disease detected by MRD or other methods of assessment (i.e., molecular, cytogenetics, flow cytometry)

The number of this relapse can be determined by using the following guidelines:

- 1st relapse: one prior CR
- 2nd relapse: two prior CRs
- 3rd or higher: three or more CRs

Do not include a partial response (PR) when determining number of relapse. Recipients who achieve a PR to treatment should be classified as either PIF or relapse; PR in ALL is generally of short duration and is unlikely to predict clinical benefit.

### **No Treatment**

The recipient was diagnosed with acute leukemia and never received therapeutic agents. Include patients who have received only supportive therapy, including growth factors and/or blood transfusions.

## LYMPHOMA RESPONSE CRITERIA

### Metabolic Criteria

#### Complete Remission (CR)

Requires all of the following:

- A score of 1, 2, or 3 with or without a residual mass on a PET 5 point scale; and
- Disappearance of any previously non-measured lesions; and
- No new lesions; and
- No evidence of FDG-avid disease in the marrow.

#### Partial Remission

Requires all of the following:

- Score 4 or 5 on a PET 5 point scale with reduced uptake compared with baseline; and
- No new lesions.

#### Stable Disease

Does not meet metabolic criteria for complete remission, partial remission, or progressive disease.

**Progressive Disease** (after Partial Remission, Stable Disease), **Relapsed Disease** (after Complete Remission)

Requires at least one of the following:

- Score 4 or 5 on a PET 5 point scale with increased uptake compared with baseline; or
- Any new FDG-avid foci consistent with lymphoma; or
- New or recurrent FDG avid foci in the bone marrow.

### Radiographic Response Criteria

For recipients with CNS lymphoma, an MRI may be used in place of the CT for the radiographic response criteria.

#### Complete Remission (CR)

Requires all of the following:

- All target nodes / nodal masses must have regressed as measured by CT to  $\leq 1.5$  cm in longest diameter; and
- Disappearance of any previously non-measured lesions; and
- No extralymphatic sites of disease; and
- No organomegaly.

Normal morphology of bone marrow is also required for a complete radiological remission if the marrow was an involved site. Immunohistochemical stains must be negative if morphology is indeterminate.

#### Partial Remission

Requires all of the following:

- $\geq 50\%$  decrease in the SPD of up to 6 target measurable nodes and extranodal sites\*; and
- No increase in the size of previously non-measurable lesions; and
- No new lesions.

If splenomegaly is present, a > 50% decrease in spleen length is also required to report a partial radiological remission

\*For lesions too small to measure on CT, assign 5mm x 5mm as the default value and then 0 mm x 0 mm when the lesion is no longer visible. For a node >5 mm x 5 mm, but smaller than normal, use the actual measurement of the node for calculations.

### Stable Disease

Does not meet radiographic criteria for complete remission, partial remission, or progressive disease.

### Progressive Disease (after Partial Remission, Stable Disease), Relapsed Disease (after Complete Remission)

Requires at least one of the following:

- An individual node must be abnormal with:
  - LDi >1.5 cm; and
  - ≥ 50% increase from nadir in the PPD; or
- An increase in LDi or SDi from nadir
  - ≥ 0.5 cm increase in LDi or SDi from nadir for any lesion ≤ 2cm; or
  - ≥ 1.0 cm increase in LDi or SDi from nadir for any lesion > 2 cm; or
- A 50% increase in spleen length compared to its prior increase beyond baseline; or
- New or recurrent splenomegaly; or
- Clear progression of pre-existing non-measured lesions; or
- Regrowth of any previously resolved lesions; or
- A new node > 1.5 cm in any axis; or
- A new extranodal site > 1.0 cm in any axis or if < 1.0 cm in any axis, its presence must be unequivocally attributable to lymphoma; or
- Assessable disease of any size unequivocally attributable to lymphoma; or
- New or recurrent involvement of the bone marrow.

LDi: longest transverse diameter of a lesion

SDi: shortest axis perpendicular to the LDi

SPD: sum of the product of the perpendicular diameters for multiple lesions

PPD: cross product of the LDi and perpendicular diameter

Adapted from: Cheson, B. D., Fisher, R. I., Barrington, S. F., Cavalli, F., Schwartz, L. H., Zucca, E., & Lister, T. A. (2014). Recommendations for Initial Evaluation, Staging, and Response Assessment of Hodgkin and Non-Hodgkin Lymphoma: The Lugano Classification. *Journal of Clinical Oncology*, 32(27), 3059-3067. doi:10.1200/jco.2013.54.8800