

**ABMTRR**  
Australasian  
Bone Marrow Transplant  
Recipient Registry

**ABMTRR**  
**HSCT Forms Guidelines**

Version: August 2021

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The details on the purpose and operations of Australasian Bone Marrow Transplant Recipient Registry are located in the ABMTRR Protocol. This is available on the ABMTRR website at:

<http://www.abmtrr.org/index.php/resources/data-management/>

## REGISTRATION REQUIREMENTS

Transplant information is submitted using ASTRO (Australasian Stemcell Transplant Registration Online) or by completing an Autologous or Allogeneic Registration Form. This is required for each transplant.

**A haematopoietic stem cell transplant is defined as involving an infusion of haematopoietic stem cells with the intention of repopulating the bone marrow and hence the recovery of haematopoiesis in all lineages.**

Registration of a transplant is NOT required:

- If patients were scheduled for transplant and may have commenced conditioning chemotherapy but did not proceed for medical or other reasons.
- If infusion of cells is a Donor Cellular Infusion (DCI/DLI)  
The intention of giving a donor cellular infusion is not to restore haematopoiesis, but may be to treat infections (e.g. viral) or recurrent disease. A DCI may also be given to treat GVHD or promote engraftment when chimerism studies reveal less than 100% donor cells. Conditioning treatment is not given prior to receiving the additional donor cells since replacement of the marrow is not the goal.  
These infusions are currently reported in the transplant follow up if following a HCT.  
If DCI does not follow a transplant, these will be reported in the cellular therapy forms currently under development.
- If the infusion of autologous PBPC is given as a rescue for graft failure.  
Although this may be to restore haematopoiesis, these are not required to be reported as a separate transplant.

In some cases there may be difficulty applying these definitions e.g. with donor lymphocyte infusions, haematopoietic cells are also present. In this case the intention of the treatment should be taken into account i.e. if the intention was to repopulate the patient marrow with haematopoietic cells, then the procedure is considered a transplant. This includes additional donor cells given for failed or poor ANC recovery, loss of graft, or late graft failure.

### Data for non-consented patients

For patients who have not consented for participation with ABMTRR, data use will be limited to centre activity, and safety and quality monitoring purposes. The data will not be used for participation in other data collections, projects or research.

Previously there was limited data collected, however all data fields including follow-up information, are required to be completed for these patients.

The Name ID fields should be left blank.

## TRANSPLANT TYPES – DEFINITIONS

### Autologous staged transplants

These consist of **planned** courses of high dose chemotherapy, each followed by re-infusion of cells usually separated by intervals, usually of no more than 6 weeks. There maybe two to four infusions depending on the protocol. Indications include neuroblastoma, medulloblastoma, germ cell tumour, testicular cancer and Ewing’s sarcoma.

These are registered on one transplant form with multiple infusion dates.

### Tandem transplants

These are planned as two or three autografts or an autograft followed by an allograft. The transplants may take place at more than one transplant centre, i.e. autograft and allograft centre.

These transplants are registered as separate transplants.

Note: the subsequent transplant is not given in response to disease relapse or progression.

### Infusions given over more than one day

This is regarded as one transplant with the first day of infusion as the transplant date.

### Allogeneic boost

After an allograft, additional cryopreserved cells from the original allogeneic donor may be given in response to delayed neutrophil recovery, without conditioning treatment. This would be regarded as a separate transplant.

### Autologous top up

Also known as “Autologous rescue”. These are the patient’s cells given to restore haematopoiesis after graft failure. As there are no provisions to collect this information in the current ASTRO version, please enter this information into the Donor Cellular Infusion section, example below. This information will be transferred to correct data fields in the next version of the database.

**Donor Cellular Infusion (Allografts only)**

Additional cell therapy given?

First infusion date </p>
</div>
<div data-bbox="88 243 521 260" data-label="Section-Header">
<h3>Post Transplant Donor Cellular Infusion (Therapy)</h3>
</div>
<div data-bbox="88 263 672 279" data-label="Text">
<p>The cells are obtained from an allogeneic source with the following indications:</p>
</div>
<div data-bbox="118 282 677 339" data-label="List-Group">
<ul>
<li>• Suboptimal chimerism, promote engraftment or immune reconstitution</li>
<li>• Treat GVHD, disease or infection</li>
<li>• Prophylaxis of GVHD, disease or infection</li>
</ul>
</div>
<div data-bbox="88 342 889 398" data-label="Text">
<p>If these are cells are provided by a donor and given following a transplant then this information will be collected in the Transplant 100 day and 1<sup>st</sup> Annual Follow Up pages under Donor Cellular Infusion. These will later be reported in the Cell Therapy forms when made available.</p>
</div>
<div data-bbox="88 400 881 437" data-label="Text">
<p>Donor cells given for failed or poor neutrophil recovery, loss of graft or late graft failure should be reported as a subsequent transplant.</p>
</div>
<div data-bbox="88 462 417 479" data-label="Section-Header">
<h3>Cell Therapy without a preceding HCT</h3>
</div>
<div data-bbox="88 481 869 518" data-label="Text">
<p>These cells may be from an autologous or allogeneic source. These procedures will be reported in the Cell Therapy forms when made available, e.g. CART-T cell therapy.</p>
</div>
<div data-bbox="88 574 489 591" data-label="Text">
<p><b>Date format:</b> All dates are formatted as dd/mm/yyyy</p>
</div>
<div data-bbox="867 918 889 937" data-label="Page-Footer">
<p>5</p>
</div>

## FORMS

The forms required for each transplant are:

- **Transplant Registration** – includes the Patient and Transplant information. The pdf versions are available as Autologous and Allogeneic Forms. Submission should occur soon after the transplant infusion.
- **100 Day** – due after 100 days post infusion.
- **Annual Follow Up** – due annually after the anniversary of the transplant.

These forms are available on the ABMTRR website <http://www.abmtrr.org/index.php/resources/data-management/>

## PATIENT DETAILS

### Patient UPN

This is the **Unique Patient Number** that the transplant centre assigns to each patient or transplant to identify transplant recipients.

Do not use the Hospital Medical Record Number.

### Name ID

This is used to assist the transplant centre in identifying the recipient e.g. for follow up or queries. The maximum number of characters as follows: first four letters of surname and first two letters of the first name.

If the name contains 'c' or an apostrophe, enter as following examples: MckN enter as MCKN, O'ROU enter as OROU

### Country and Place of usual residence

Enter the country, and the State if Australian.

This should be where the patient normally resides. Do not enter a temporary accommodation the patient may be residing at during the transplant.

### Postcode

Enter postcode for Australian and New Zealand patients.

### Sex

## **Date of birth**

## **Indigenous status**

This currently applies to Australians only. This information is available from the hospital medical record.

## **Patient consent**

Consent is required from all transplant recipients for the transfer of information describing themselves and their transplant procedures to ABMTRR. The patient consent procedure will be dependent on the individual hospital's policy.

A sample patient information and consent form is available in the ABMTRR Protocol for your reference. Link to ABMTRR Protocol: <http://www.abmtrr.org/index.php/resources/data-management/>

If consent is not obtained, the patient and transplant information is still required for data quality and benchmarking purposes. These patients will not be included in any analyses and research projects.

## **CIBMTR ID**

This is the identifier assigned by CIBMTR (Centre for International Blood and Marrow Transplant Research) to recipients whose transplants are also reported to them.

## **Survival Status and Survival Status Last Known Date (Latest date patient is seen)**

Please enter the latest survival status and the latest date available at the time of reporting. This date may be obtained from correspondence or pathology results etc. This date is used in survival analysis.

If the patient has died, then this will be the death date.

# **TRANSPLANT DETAILS**

## **Has the patient's Address Changed?**

This field is relevant for a patient receiving a subsequent transplant and the patient has moved since their previous transplant.

If the patient has moved then enter the new Place of usual residence details.

## **Transplant date**

Enter the date of first day if the infusion is given over more than one day. It will be regarded as one transplant.

Autologous staged transplants - subsequent infusion dates are entered on the same form. These fields will display when Transplant type = "autologous staged" in ASTRO. (If using paper forms, dates of all the infusions can be entered onto one form)

### Type of transplant

Options: Allogeneic, Autologous or Autologous staged.

*Refer to Transplant Types on page 4 for the definition of Autologous staged*

### HSCT part of a planned multiple graft protocol

Please indicate if the transplant is part of a staged or tandem transplant protocol.

### Transplant number

Chronological number of transplant for this patient, e.g. first, second

### If more than one transplant (Paper form)

#### If second or subsequent transplant, was previous transplant performed at different centre? (ASTRO)

Complete the following

- Date of prior transplant
- Centre where transplant performed
- Type of previous transplant

Providing information in this section will assist the Registry in linking the transplant to an existing patient record in the database if a prior transplant has been registered separately.

If the transplant was performed at a different centre, an approximate date can be entered if this is not known.

### Mobilisation

Select all agents given to an autologous recipient or an allogeneic donor for the mobilisation (otherwise known as priming) of cells for this transplant.

If there are multiple collections, then include all agents used.

### Transplant source (Stem cell source)

Select the cell source (or cell sources if more than one type) that are infused in this transplant

If there are more than one donor e.g. double cord transplant, completion of the Multiple Donor section is required.

### Donor-recipient relation - complete for allogeneic transplants

**HLA matched other relative** or **mismatched relative** includes siblings who are not HLA identical and all other blood related relatives. Adoptive or step-parents/children are reported as unrelated.

If “...other relative” is selected then the following fields apply

### Haploidentical

Please indicate if the donor is known to be haploidentical.



A haplotype (half of a genotype) refers to the combination of linked HLA genes transmitted on a single parental chromosome. The only way to establish whether a related donor is haploidentical is by looking at the HLA typing of the family including parents, siblings and/or children of the patient. Usually genotypic identity can only be proven if data on both parents are available. The number of mismatches cannot be used to completely identify a family donor as haploidentical, but is a good approximation if the number of mismatches is 2 or more.

Ref: EBMT MED-AB Forms Manual 21/12/2020

**Specify relation** – the relationship of donor to recipient e.g. mother, sister.

**HLA-Matching**

If the donor is unrelated or HLA-mismatched related, then complete the following table. Alternately, the tissue typing reports may be sent to ABMTRR for data entry.

A	B	C	DRB1	DQB1	DPB1	
						Antigenic (serological or low resolution)
						Allelic (DNA or high resolution)

*Options: matched, 1 mismatched, 2 mismatched, not done*

If the high resolution typing is provided on the tissue typing results, then the serological or low resolution is not required and can be left blank.

**Were any of following components of this transplant performed substantially as outpatient procedures?**

Indicate if more than half the time is spent as an outpatient during the following procedures:

- **Conditioning**  
For example, chemotherapy was administered as an outpatient on 3 days of a 5 day protocol then indicate “yes” for this field
- **Infusion**
- **Acute post-transplant period**  
This is defined as up to 30 days post-transplant.  
Answer ‘Yes’ if the patient spends less than 15 days as an inpatient. If the patient is admitted into the Hospital in the Home program (or equivalent), then this is considered as outpatient care  
If patient dies within the 15days, then leave this blank.

**Date diagnosed of primary disease for this transplant**

This is the date of diagnosis of the indication for which the patient is being transplanted.

Some scenarios:

Transformed lymphoma (NHL to another NHL, or HD to NHL) – diagnosis date is the date of the second lymphoma diagnosis. Primary disease for transplant is the second lymphoma.

Richter's Syndrome (CLL to NHL) – diagnosis date is of the CLL. Primary disease is NHL

Myeloma progressed from a solitary plasmacytoma, then the date of diagnosis is the date of myeloma diagnosis.

MDS transformed to AML – diagnosis date of primary disease for the transplant would be date of transformation to AML

### **Main classification and Diagnosis**

Refer to Disease Classification section on page 16

ABMTRR Diagnosis List is available on our website to help identify which Main Classification the diagnosis belongs in.

### **Preparative Regimen**

#### **Was conditioning preparation intended to be myeloablative?**

Complete for allogeneic transplants only

If the conditioning regimen is reduced intensity or considered non-myeloablative then the “No” should be selected for this question.

### **Pre-transplant conditioning**

Select all agents given.

In addition:

- Indicate the dose options for Melphalan and TBI
- Indicate the source of ATG e.g. ATGAM, Thymoglobulin, Fresenius/Grafalon

### **Graft information**

#### **Cell count**

- Nucleated cells x  $10^8$ /kg
- CD34 cells x  $10^6$ /kg

These are to be reported in number per kilogram recipient's weight. Please take care that you are reporting the correct units.

The cell counts reported should represent the actual number of cells infused. This would be after thawing and/or manipulation if utilised.

For cryopreserved products, if the cell count was not performed after thawing, then report the available cell count and add a note of when it was performed e.g. at time of harvest/ product arrival/ pre-cryopreservation (in the 'Prior procedure detail' field)

Do not use the value corrected for cell viability.

**Graft manipulation** Allogeneic only:

Indicate if the graft was manipulated for CD34+ selection, T cell depletion or other. Do not include RBC or plasma depletion or volume reduction.

**Have cells been cryopreserved?**

If the cells were harvested from the donor and then cryopreserved and stored for a period beforehand, please indicate here.

**Recipient Performance status prior transplant**

Use Karnofsky (patients 16 years and older) or Lansky score (patient less than 16 years) to determine the score (10-100) that best represents the recipient's functional status.

The performance score should be documented at the time of the pre-transplant work up prior to commencement of conditioning treatment. If it has been recorded more than a month prior to transplant, it may be used provided the patient has not received any additional treatment and their condition is unchanged.

## Performance status

	<b>Karnofsky Scale</b> (recipient age ≥ 16 years)		<b>Lansky Scale</b> (recipient age < 16 years)	
100	Able to carry on normal activity; no special care is needed	Normal, no complaints, no evidence of disease	Able to carry on normal activity; no special care is needed	Fully active
90		Able to carry on normal activity		Minor restriction in physically strenuous play
80		Normal activity with effort		Restricted in strenuous play, tires more easily, otherwise active
70	Unable to work, able to live at home, cares for most personal needs, varying amount of assistance needed	Cares for self, unable to carry on normal activity or to do active work	Mild to moderate restriction	Both greater restrictions of, and less time spent in active play
60		Requires occasional assistance but is able to care for most needs		Ambulatory up to 50% of time, limited active play with assistance/supervision
50		Requires considerable assistance and frequent medical care		Considerable assistance required for any active play, fully able to engage in quiet play
40	Unable to care for self, requires equivalent of institutional or hospital care, disease may be progressing rapidly	Disabled, requires special care and assistance	Moderate to severe restriction	Able to initiate quiet activities
30		Severely disabled, hospitalization indicated, although death not imminent		Needs considerable assistance for quiet activity
20		Very sick, hospitalization necessary		Limited to very passive activity initiated by others (e.g. TV)
10		Moribund, fatal process progressing rapidly		Completely disabled, not even passive play

### **Recipient CMV status**

Report the CMV status of the recipient prior to start of conditioning therapy.

Most laboratory reports a positive result as reactive and a negative result as non-reactive. Select “unknown” only if the test results are inconclusive, unequivocal or reported as not known.

The “not done” option should only be used if the CMV status is not evaluated, or if the lab reports CMV testing by PCR (DNA detection), prior to commencement of conditioning. PCR testing is used to detect the presence of the CMV virus but does not test for prior exposure.

A positive IgM or IgG assay is considered a positive/reactive result. Any previous history of positive antibody assay can be reported as a “positive” test result.

### **Were any of the following used to treat or manage disease between diagnosis and transplant?**

This includes chemotherapy, radiotherapy, or surgery if it was used for disease management. Details of these and extra information may be entered into the details field. Do not include previous transplants.

## **Allogeneic Transplant**

### **Multiple Donors?**

Complete questions 10, 11,14a, 14b and 18 on an additional paper form.

In ASTRO, select this checkbox to display the extra fields required for the additional donor.

### **Number of Donors**

Indicate the number of donors used in this transplant.

### **Donor sex**

Male or female

### **Female donor, number of pregnancies**

Specify the number of donor pregnancies if known.

### **Donor age**

Enter age in years

### **Donor CMV status**

Most laboratory reports a positive result as reactive and a negative result as non-reactive. Select “unknown” only if the test results are inconclusive, unequivocal or reported as not known.

The “not done” option should only be used if the CMV status is not evaluated, or if the lab reports CMV testing by PCR (DNA detection), prior to commencement of conditioning. PCR testing is used to detect the presence of the CMV virus but does not test for prior exposure.

If the cell source is from cord blood, this question is not relevant.

### **CMV Prophylaxis, agents used**

CMV prophylaxis is the use of antiviral agents to prevent CMV reactivation and disease. It is administered to CMV seropositive patients and may be given from the day of engraftment to 100 days post-transplant in order to prevent reactivation (positive CMV PCR or pp65 antigen) of CMV.

In most centres the preference will be to use pre-emptive strategy (see below), so primary prophylaxis for CMV is unusual.

### **CMV Prophylaxis: Pre-emptive Strategy**

Answer ‘yes’ to this question if the patient is regularly monitored for CMV activity using sensitive methods such as Quantitative polymerase chain reaction (PCR) or CMV pp65 antigen.

The strategy involves the surveillance of CMV activity as described above.

If there is detection of CMV viremia (reactivation\*), antiviral therapy (e.g. ganciclovir, foscarnet) can then be given with the aim to suppress viral replication before the onset of clinical symptoms, until the viral load becomes undetectable.

### **GVHD Prophylaxis**

Immunosuppressive agents are given to prevent the development of graft versus host disease. Do not report any agents used to *treat* GvHD, i.e. agents given at the onset of GvHD

Any GvHD prophylaxis agents given prior to day 0 (e.g. anti-thymocyte globulin) should be reported under the Pre-transplant Conditioning

### **Unrelated Donor Information**

Include adoptive or step-parents/children here

### **ABMDR (NZBMDR) Recipient-ID**

This is the recipient identification number or code provided by the Australian or New Zealand donor registries. (ABMDR/ NZBMDR).

### **Donor-ID**

The donor identification code is provided on the donor form. It may consist of either the registry code followed by a donor identification number, or an identification number only.

*The Donor centres will be adopting the GRID (Global Registration Identifier for Donors) coding system, a 19 digit number to comply with international guidelines.*

### **Registry country**

Select the country where the donor registry is based.

### **Multiple Donor**

The fields in this section include:

- 2nd Donor sex, number of pregnancies if female
- 2nd Donor CMV status
- 2nd Donor Cell Source
- 2<sup>nd</sup> Donor Relationship
- 2nd Donor HLA Match
- 2nd Donor ID
- 2nd Donor Registry country
- 2nd Donor Nucleated cells
- 2nd Donor CD34+ cells

**This section displays in ASTRO when 2 or more is selected for Number of donors e.g. double cord transplant.**

There are no provisions for the collection of data for more than 2 donors in ASTRO. ABMTRR should be contacted.

## DISEASE CLASSIFICATION

ABMTRR uses the WHO disease classification, similar to the Centre for International Blood and Marrow Transplant Research (CIBMTR) and the European Group for Blood and Marrow Transplantation (EBMT).

**ABMTRR Diagnosis List** is available on the ABMTRR website to help identify which main classification a diagnosis should be reported under.

Please indicate the **most specific** disease classification or alternatively enter a WHO classification code (ICD-0-3.2). ICDO-Third Edition, Second Revision Morphology is the latest version available. For more information, go to: <http://www.iacr.com.fr> (Go to tab heading: Support for registries)

### Acute Leukaemia

Refer to the Diagnosis List to help classify the diagnosis and disease classification

#### Acute myeloid leukaemia

Report cytogenetic and molecular abnormalities

##### **AML transformed from MDS/MPS**

Complete both the Myelodysplasia / Myeloproliferative Diseases and AML sections.

The date of diagnosis of primary disease for the transplant will be the date of transformation to AML, i.e. when the AML was first diagnosed.

In ASTRO: the additional MDS fields will display when “Yes” is selected for “AML transformed from MDS/MPS”.

##### **Was disease related to prior exposure to therapeutic drugs/radiation? (Treatment related?)**

The AML may be associated with the agents given to treat a prior malignancy. The AML would then be a secondary malignancy.

#### Acute Lymphoblastic Leukaemia

Report cytogenetic and molecular abnormalities

#### Other Acute Leukaemia

E.g. Acute undifferentiated leukaemia, biphenotypic leukaemia

Report cytogenetic and molecular abnormalities

### Chronic Myelogenous Leukaemia

Indicate the presence of Philadelphia chromosome t(9,22) and bcr-abl gene



## Other Leukaemias

E.g. Chronic lymphocytic leukaemia (CLL), Prolymphocytic leukaemia

If the recipient has Chronic lymphocytic leukaemia which has transformed to Diffuse large B cell lymphoma (Richter's transformation), then report Non-Hodgkin Lymphoma as the indication for transplant.

## Myelodysplastic/Myeloproliferative Disorders

Refer to the Diagnosis List to help classify the diagnosis and disease classification

### Myelodysplastic Syndromes (MDS)

### Myeloproliferative Neoplasms (MPN)

- primary myelofibrosis, Polycythaemia Vera

### Combined Myelodysplastic/Myeloproliferative Disease

- CMML, JMML

### Therapy related

Indicate if the MDS/MPD was related to prior exposure to therapeutic drugs or radiation

### Transformed to AML

If the MDS/MPN has transformed into AML, then the AML is the indication for transplant. Complete **both sections for MDS/MPD and AML** on the paper form. Date of diagnosis (of primary disease for this transplant) will be the transformation date.

In addition the Date of MDS/MPN diagnosis should be given.

In ASTRO, the additional fields will display when "AML transformed from MDS/MPS" checkbox is selected.

## Lymphoma

Refer to the Diagnosis List to classify the diagnosis and disease classification

### Hodgkin Lymphoma

### Non Hodgkin Lymphoma

- B-cell neoplasms
- T-Cell & NK-cell neoplasms

If the lymphoma transforms from one subtype to another prior to transplant, report the most current subtype at the time of transplant. Then report the original lymphoma subtype under **Lymphoma histology at diagnosis (Prior histology if transformed** in the paper forms)

HL and NHL can occur at the same time. It should be determined which is the main indication for transplant as only one should be reported.

“B-cell lymphoma, unclassifiable, with features intermediate between DLBCL and classical Hodgkin lymphoma” should be reported under Non Hodgkin Lymphoma, “Other B cell lymphoma, specify”

**Richter’s transformation** - CLL transformed into Diffuse large B-cell lymphoma is reported under Non Hodgkin Lymphoma. Indicate CLL as the prior histology on the paper form or “Richter’s transformation” as the “Lymphoma Histology at Diagnosis” in ASTRO.

Precursor T- and Precursor B-cell lymphoblastic lymphoma (or lymphoma/leukaemia) should be reported as acute lymphoblastic leukaemia (T-cell lymphoblastic leukaemia/lymphoma or B-cell ALL). WHO classifications: 9837/3 and 9836/3

## Plasma Cell Disorders

E.g. Myeloma, Plasma cell leukaemia, Primary amyloidosis

### Multiple Myeloma

Specify monoclonal protein type: IgG, IgA, IgD, IgE, IgM (not Waldenstrom macroglobulinemia)

Light chain type: kappa, lambda

Or

Light chain only – indicate which light chain type i.e. kappa or lambda

Non-secretory – kappa/lambda light chains are not detected

**Stage at Diagnosis:** Indicate which staging system is used, Durie-Salmon or ISS

### I.S.S. System

<b>Stage I</b>	$\beta 2$ microglobulin < 3.5 mg/L, albumin $\geq$ 35 g/L
<b>Stage II</b>	$\beta 2$ microglobulin < 3.5 mg/L and albumin <35 g/L or $\beta 2$ microglobulin 3.5 to < 5.5 mg/L irrespective of albumin
<b>Stage III</b>	$\beta 2$ microglobulin $\geq$ 5.5 mg/L irrespective of albumin

## Durie-Salmon System

<b>Stage I</b>	All of the following: <ul style="list-style-type: none"><li>• Haemoglobin &gt;99 g/L</li><li>• Serum calcium &lt;2.65mmol/L</li><li>• No lytic lesions or one single minor lesion</li><li>• Monoclonal IgG &lt; 50g/L or IgA &lt;30 g/L (for 'common' type myeloma), Or light chains in urine &lt;4 g/24 hrs (for light chain myeloma)</li></ul>
<b>Stage II</b>	Neither stage I or III
<b>Stage III</b>	One or more of the following: <ul style="list-style-type: none"><li>• Haemoglobin &lt; 85 g/L</li><li>• Serum calcium &gt; 2.65mmol/L</li><li>• Monoclonal IgG &gt;70 g/L, IgA &gt;50 g/L ('common' type) or light chains in urine &gt; 12 g/24 hrs</li><li>• Multiple skeletal lesions and/or pathologic fractures</li></ul>
<b>Sub-classification</b>	
<b>A</b>	Relatively normal renal function (serum creatinine < 180µmol/L)
<b>B</b>	Abnormal renal function (serum creatinine ≥ 180µmol/L)

## Solid Tumours

Refer to the Diagnosis List to help classify the diagnosis and disease classification

## Non Malignant Diseases

Refer to the Diagnosis List to help classify the diagnosis and disease classification

### Bone Marrow Failure Syndromes e.g. aplastic anaemia

### Haemoglobinopathy

### Histiocytic Disorders

### Inherited Disorders

- Disorders of metabolism / Osteopetrosis
- Immune Deficiencies
- Platelet Disorders

### Autoimmune Disorders

- Connective Tissue Disease e.g. scleroderma (Systemic sclerosis)
- Vasculitis e.g. Wegener granulomatosis, Behçet's Syndrome

- **Arthritis** e.g. Rheumatoid arthritis, Stills disease
- **Multiple sclerosis** –

clinical problems:	primary progressive secondary progressive relapsing/ remitting other, specify
EDSS (1-10)_____	

- **Other Neurological Autoimmune Disease**
- **Haematological Autoimmune Disease**
- **Bowel Disease**

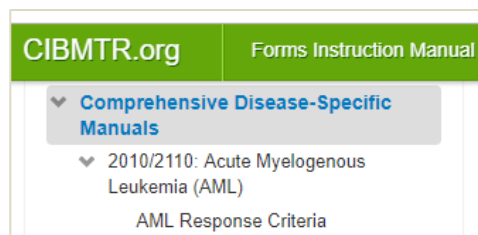
### Other disease, specify

Use this option only if the disease does not belong to any of the previous categories.

## Disease Status at Transplant

Refer to the Response criteria of the specific disease for the definitions in the CIBMTR Forms Instruction manual. These are located in the Comprehensive Disease-Specific Manuals:

<https://www.cibmtr.org/manuals> e.g.



Disease classification	Disease Status at Transplant
<b>Acute Leukaemia</b>	Never Treated Primary Induction Failure (PIF) Complete Remission - specify number of CR Relapse - specify number of relapse If a partial response is achieved, then report as PIF or relapse
<b>CML</b>	Chronic Phase – haematological, cytogenetic, molecular remission achieved? Accelerated Phase Blast Crisis specify the number of Chronic Phase, Accelerated Phase or Blast Crisis
<b>CLL, PLL</b>	Never Treated Complete Remission (CR) Partial Remission (PR) No Response/Stable Disease (NR/SD) Progression Relapse (untreated)
<b>MDS/MPN/CMML</b> (not required for JMML)	Complete Remission (CR) Improvement, but no CR (Haematological Improvement- HI) No response (NR) /Stable disease Progressive/Worse from Haem Improvement Relapsed after CR * Indicate Number of relapse Supportive care or treatment without chemotherapy (Never treated)
<b>Lymphoma</b>	Never Treated Primary Refractory (less than PR to initial therapy)/PIF res Partial Response/remission* Complete Remission* Relapse * Progression * specify Number of PR, CR, or Relapse (Do not include PRs when calculating the CR number)  For relapse only - indicate if disease is sensitive to chemotherapy Sensitivity is measured based on the last chemotherapy given within the six months prior to transplant.

	<ul style="list-style-type: none"> <li>• Sensitive: <math>\geq 50\%</math> reduction in the bi-dimensional diameter of all disease sites with no new sites of disease</li> <li>• Resistant: <math>&lt; 50\%</math> reduction in the diameter of all disease sites or development of new disease sites</li> <li>• Untreated: no chemotherapy was given within the six months prior to the pre-transplant conditioning.</li> <li>• Unknown: not assessed or not available</li> </ul>
<b>Multiple Myeloma and Solitary plasmacytoma</b>	<p>Never Treated</p> <p>Stringent Complete Remission (sCR)</p> <p>Complete Remission</p> <p>Very Good Partial Response (VGPR)</p> <p>Partial Response (PR)</p> <p>Stable Disease (SD)</p> <p>Progressive Disease (PD)</p> <p>Relapse from CR (untreated)</p>
<b>Solid Tumour</b>	Not required

## **FOLLOW UP REPORTING**

Follow up is due at:

- 100 days - captures information during the acute post-transplant phase
- Annual up to 6 years, and then every second year thereafter.

It is a requirement that all Australian centres complete Annual Follow-Up Forms for the Unrelated Donor transplants up to the first 5 years after transplant.

For all other transplants, the 100 day and First Annual Follow Up is particularly important for quality analysis and survival.

It is not necessary to catch up on completing all past annual follow up forms if these have not been reported. Completing only the latest annual follow up is recommended, unless otherwise requested by ABMTRR.

### **Subsequent transplant reporting**

If another transplant is given during a follow up period, then any events should be reported up to the day before conditioning therapy is commenced. If no preparative conditioning is planned, then the reporting period will include up to the day before the subsequent infusion date. Another registration form and associated follow up will then be required for the subsequent transplant. No further follow up will be required for the previous transplant.

### **Lost to follow up**

If the centre has completely lost contact with the patient, the patient will remain “Alive” with the last date known alive entered.

There are no provisions to enter ‘lost to follow up’ into the database, however a brief note (e.g. lost to follow up/ patient moved to ...) may be added to the Patient Comments which will display on the follow up lists to identify these patients.

### **Centre transfer for follow up**

If a patient is being followed up at another transplant centre, ABMTRR should be contacted to arrange transfer of the patient’s record to the other reporting centre with their permission.

When a patient is transferred from one transplant centre to another transplant centre, the information entered into ASTRO should include the period whilst under their care.

If the patient no longer visits the transplant centre for follow up care, the transplant centre should continue to update ASTRO using correspondence from a specialist centre or GP. A pathology report can also be used to update the latest contact date.

## 100 DAY

Apart from the “Survival Status Last Known Date”, all other information provided here should be within the hundred days post-transplant. Any “latest” dates of events should be as close to 100 days as possible (e.g. latest dates of assessment.) However, if Acute Graft versus Host Disease develops beyond 100 days, the date of first incidence of aGVHD should still be reported here.

If chronic Graft versus Host Disease develops within the 100 days, it should be documented in the 1st Annual Follow-Up Form.

If another transplant occurs before the 100 days, then events should be reported up to the day prior commencement of conditioning therapy. If no preparative conditioning is planned, then the reporting period will include up to the day before the subsequent infusion date. Another Transplant Registration and the associated follow up will then be required for the subsequent infusion.

## Survival

### Survival Status

Indicate the recipient’s latest known survival status.

### Last known date of contact, or death date

Report the last known date the patient was known alive or the date of death. A date from correspondence or pathology report etc. may be used.

### Primary cause of death

Report only one main cause of death. However, if it the cause was transplant related, then select all the contributing causes.

Primary cause of death, options:

- Relapse progression/persistent disease
- Transplant related
- New malignancy
- Other, specify
- Unknown

Report the underlying cause of death... the underlying cause of death is “the disease or injury that initiated the chain of events that led directly or inevitably to death.”

*Ref: CIBMTR Forms Manual: 2450 Post-TED, Question 3*



Examples:

- If an infection leads to heart failure, the infection should be reported as the primary cause of death.
- If the patient dies of acute renal failure which was associated with progressive myeloma, then the myeloma should be reported as the primary cause of death.

Report only one main cause of death; however contributing causes may be listed, under “Comments”. Do not report the mode of death e.g. cardiac or respiratory arrest.

If the recipient has recurrent/persistent/progressive disease at the time of death, consider if the disease was the primary cause of death or a contributing cause of death. It should not be assumed that the presence of disease indicates that the disease was the primary cause of death.

It may be reported on a post mortem as the primary cause, however, for registry reporting, use the criteria below to help determined how to report this.

- **Disease is present and progressing:**

In the presence of clinical disease, if the disease is progressing, the main cause of death should be reported as “**Relapse/Progression/Persistent disease**”, regardless of any accompanying complications or infections during the post-transplant period.

- **Disease is present and stable or improving:**

In the presence of clinical disease, and the disease is stable or there had been an improvement after transplant, and the patient were to die of complications or infections, then the main cause of death would then be the complication or infection.

The cause of death would be reported as “**Transplant related**”. Select as many contributing causes as relevant. E.g. Infection, GVHD, pulmonary toxicity

### **Transplant related causes:**

Cardiac toxicity- includes heart failure, congestive heart failure, non-infectious pericarditis, and/ or cardiac tamponade.

Pulmonary toxicity – includes non-infectious lung failure, which can include ARDS, pulmonary haemorrhage, radiation pneumonia, etc. If bronchiolitis obliterans is a part of chronic GVHD, it can also be reported here

Rejection/ poor graft function – this is defined as ANC  $< 0.5 \times 10^9/L$  and bone marrow biopsy with  $< 5\%$  cellularity either after engraftment is achieved or after day 28 post transplant.

Veno-occlusive disease (hepatic sinusoidal obstruction syndrome) – defined as having at least two of the following features

- Jaundice (bilirubin  $> 34 \mu\text{mol/L}$ )
- Hepatomegaly with right upper quadrant pain
- Ascites and/or weight gain ( $> 5\%$  over baseline, as generally accepted)

Ref: McDonald GB, et al. *Hepatology* 1984; 4:116-22. Jones RJ, et al. *Transplantation* 1987; 44:778-83

### **New malignancy**

If a new malignancy has contributed to the death, then the diagnosis of the malignancy should be reported under New Malignancies.

Please note:

- If the cause of death was due to a malignancy diagnosed before the transplant, then report the cause as a prior malignancy under “Other”
- If the malignancy is considered a progression of the disease for which the transplant was for (e.g. MDS to AML), then the main cause of death should be reported as “Disease progression” rather than a new malignancy.

### **Aplastic anaemia – graft failure**

If a recipient was transplanted for aplastic anaemia and dies of relapsed disease, then the cause of death should be reported as “Rejection/poor graft failure” (under “Transplant related” causes).

### **Other, specify**

Select this option only when the other available options are not appropriate.

## **Engraftment**

For autologous staged transplants where there are multiple infusions on one registration form, report the engraftment after the first infusion of cells i.e. the engraftment after the first cycle.

### **Neutrophil engraftment**

This is defined as an absolute neutrophil count (ANC) of  $0.5 \times 10^9/L$  or more on consecutive laboratory values on three different days. The date of recovery is the first of these three days.

Do not start counting ANC values  $\geq 0.5 \times 10^9/L$  until after the ANC has dropped to the lowest level (nadir) post day 0.

For transplants using non-myeloablative or reduced intensity regimens, the neutrophil count may never drop below  $0.5 \times 10^9/L$ . Report these as “Never below  $0.5 \times 10^9/L$ ”

### **Did graft failure occur?**

This is primary graft failure with persistent neutropenia,  $<5\%$  donor chimerism, and ANC  $<0.5 \times 10^9/L$  for three or more consecutive laboratory values.

Graft failure often requires an additional infusion of donor cells and may result from the use of specific drugs, infection (especially CMV), GVHD, as well as other causes.

### **Platelet engraftment**

This is defined as the platelet laboratory values of  $20 \times 10^9/L$  or more. The “date achieved” will be the first of three consecutive days, and the patient has not received any platelet transfusions in the 7 days prior. (e.g. if the last platelet transfusion was given on the 1<sup>st</sup> Feb, then the 8<sup>th</sup> Feb is the earliest date that can be reported as date of platelet engraftment.)

For transplants using non-myeloablative or reduced intensity regimens, the platelet count may never drop below  $20 \times 10^9/L$ . Report these as “Never below  $20 \times 10^9/L$ ”

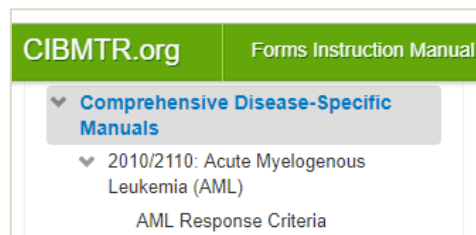
In some situations, the patient may be discharged before their platelet count has reached  $20 \times 10^9/L$  or is discharged within seven days following a platelet transfusion. The date with available platelet count should be used as the platelet engraftment date instead of an estimated date unless the available platelet count is too far away from the estimated date.

Example: if a platelet count is available within 7 days from the estimated date of platelet engraftment, then use actual platelet count date as the platelet engraftment date.

If a platelet count is 7 or more days from the estimated platelet count date, then use the estimated date as long as there was evidence the platelet count was on the rise.

## Disease assessments

Please refer to the appropriate disease response criteria in the CIBMTR Forms Instruction Manual



<https://www.cibmtr.org/manuals> e.g.

### Best disease status achieved post transplant, prior to treatment modification

(Malignant diseases only)

Responses entered into ASTRO for this section will copy to the other follow up pages where this question appears as this is a once only event.

Report the recipient's best response to the planned course of the transplant. Do not include response to any treatment given for relapsed or persistent disease that was not a planned part of the transplant. If the recipient was in complete remission at the time of transplant, then the only possible response will be “Continued complete remission”.

Recipients not in complete remission at the time of transplant who achieve CRU (Complete remission unconfirmed – persistent scan abnormalities of unknown significance) should be reported as “Complete remission achieved”. Include the date assessed.

Refer to the definition of complete remission for the specific disease classification.

If the recipient was not in complete remission at the time of transplant, and has persistent or residual post transplant, then select “Never in complete remission” Include the latest assessment date in the report.

The best response is usually achieved within the 100 days however it may occur beyond this for some diagnoses e.g. Myeloma and CLL.

## Relapse or Progression post transplant

Responses entered into ASTRO for this section will copy to the other follow up pages where this question appears as this is a once only event.

Report the **date of first detection** of relapse (or disease progression for persistent or residual disease) after transplant.

Detection methods include clinical/haematological.

Cytogenetic and Molecular detection methods are relevant for leukaemia only.

Clinical assessment includes radiological and physical assessments e.g. when recipient is evaluated by the physician.

Only the first instance of each detection method should be reported.

If relapse or progression has not occurred, then report the latest date the disease was assessed under "If no, date last assessed".

## Adverse events in the first 100 days post transplant

Report the following events, and the date of onset.

### Interstitial pneumonitis

May result from infectious or non-infectious causes or it may be idiopathic, where an organism has not been isolated.

Diagnosis may include radiological results, bronchoscopy (including BAL), biopsies, arterial blood gas assessments, full blood count, blood chemistries and cultures.

### Veno-occlusive disease

Veno-occlusive disease or sinusoidal obstruction syndrome is a form of toxic liver injury characterised by the development of hepatomegaly, ascites/weight gain, and jaundice. Diagnosis relies on clinical criteria, ultrasound results, central venous blood pressure and liver biopsy.

### Haemorrhagic cystitis

This is characterised by bleeding and inflammation of the bladder wall. Severity may range from macroscopic to gross haematuria.

### CMV reactivation

CMV reactivation is detected by positive CMV (Quantitative PCR or pp65 antigen) in the absence of CMV disease.

### CMV disease

Indicate if there is probable or proven evidence of CMV disease

References:

1. Definitions of Cytomegalovirus Infection and Disease in Transplant Patients for Use in Clinical Trials. Ljungman P et al; Disease Definitions Working Group of the Cytomegalovirus Drug Development Forum. *Clinical Infectious Diseases* 2017; Jan 1;64(1):87-91. Epub 2016 Sep 28

2. Definitions of Cytomegalovirus Infection and Disease in Transplant Recipients Per Ljungman,1 Paul Griffiths,2 and Carlos Paya3. *Clinical Infectious Diseases* 2002; 34:1094-7

**Was anti-CMV therapy given**

This refers to any anti-viral medication that was given to treat CMV infection in the first 100 days. Do not include any agents given for prophylaxis.

**Acute Graft versus Host Disease**

Complete for allogeneic transplants only.

GvHD was originally classified as acute or chronic based on the time of onset, where acute GvHD occurred within 100 days post transplant. The diagnosis of acute and chronic GVHD is now based on clinical and histological features and may be diagnosed outside of these parameters. For example, symptoms/signs consistent with acute GVHD may be diagnosed at day 140, however for reporting purposes, it should be reported on the 100 Day form under Acute GVHD

Indicate if the patient developed acute GvHD. If yes, then complete the following questions

**Date of first incidence of acute GvHD**

Report the first incidence only

**Grading and staging of acute GvHD**

**Staging of organ involvement**

Stage	Skin	Liver	Gut
1	Rash on <25% of skin *1	Bilirubin 34-50 µmol/L *2	Diarrhoea volume > 500ml/day *3 or persistent nausea * 4
2	Rash on 25-50% of skin	Bilirubin 51-102 µmol/L	Diarrhoea volume > 1000 ml/day
3	Rash on >50% of skin	Bilirubin 103-255 µmol/L	Diarrhoea volume >1500 ml/day

<b>4</b>	Generalized erythroderma with bullous formation	Bilirubin > 255 µmol/L	Severe pain with/without ileus
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*Przepiorka et al, Bone Marrow Transplant 1995; 15(6):825-8*

- \*1. Use “Rule of Nines” (see below) or burn chart to determine extent of rash.
- \*2. Range given as total bilirubin. Downgrade one stage if an additional cause of elevated bilirubin has been documented.
- \*3. Volume of diarrhoea applies to adults. For paediatric patients, the volume of diarrhoea should be based on body surface area. Downgrade one stage if an additional cause of diarrhoea has been documented.
- \*4. Persistent nausea with histological evidence of GVHD in the stomach or duodenum.
- 5. Criteria for grading given as minimum degree of organ involvement required to confer that grade.
- 6. Grade IV may also include lesser organ involvement with an extreme decrease in performance status.

<b>RULE OF NINES</b> <b>Percent body surfaces</b>	<b>Body area</b>	<b>%</b>
	Each arm	9
	Each leg	18
	Chest and abdomen	18
	Back	18
	Head	9
	Pubis	1

Evaluate the Maximum Grade using the table below

<b>Grade</b>	<b>Skin</b>		<b>Liver</b>		<b>Gut</b>
<b>I</b>	Stage 1 or 2	AND	nil	AND	nil
<b>II</b>	Stage 3	OR	Stage 1	OR	Stage 1
<b>III</b>	-		Stage 2-3	OR	Stage 2-4
<b>IV</b>	Stage 4	OR	Stage 4		-

### **Donor cellular infusion (DCI)**

DCI is a form of immunotherapy with cells donated from an individual other than the recipient. These cells are given post transplant for the treatment of disease or infection, or mixed chimerism, with the aim to create an immune effect within the patient. It is not an intent to repopulate the recipient’s marrow with haematopoietic cells.

Donor cells given for failed or poor neutrophil recovery, loss of graft or late graft failure should be reported as a subsequent transplant.

### **First infusion date**

Report the date of the first infusion only.

### **Cell type**

Donor cell types include but not limited to:

- Lymphocytes
- Mesenchymal cells
- Dendritic cells
- Peripheral blood mononuclear cells, stimulated or unstimulated

### **Indication**

Include but not limited to:

- treatment of recurrent disease, by inducing a graft versus leukaemia /tumour effect
- pre-emptive treatment in cases of high risk of disease relapse
- treatment of GvHD
- promote engraftment when chimerism studies show less than 100% donor cells
- conversion of mixed chimerism to full chimerism
- treatment of infections e.g. viral
- treatment of PTLD or EBV lymphoma

*These will be reported in the Cell Therapy forms when made available.*

## ANNUAL FOLLOW-UP

The information provided should be within the follow up period, including events **since the last report** for the following fields:

- Last known disease status
- Did graft failure occur
- Performance status
- Chronic GVHD

Any assessment dates (e.g. latest assessment of disease or performance status) should be as close to the anniversary date as possible.

It is not so important to report the **once only events** in the correct follow up period as the information in these fields are copied to show in all Annual Follow Up pages. These include:

- First Relapse or Progression post transplant fields
- Best disease status achieved post transplant
- Date of first incidence of chronic GvHD
- New malignancy (displays in Patient Details and Annual Follow Up pages)

### Survival Status, Last known date of contact

Refer to these questions mentioned under 100 Day.

### Disease assessments

#### Last known disease status

Completing this section allows more accurate analysis of patient status, when assessing patient outcome or survival.

Assessment of the disease status may be from a clinical assessment, correspondence from a physician, radiological, or laboratory test (FBE, biochemistry, cytogenetic, flow cytometry, molecular)

The "Date assessed" may not be the same as the "Last known date of contact".

Please note: in ASTRO, the data in the "Last known disease status" and associated "Date assessed" are overwritten with the latest reporting in subsequent Annual Follow Up forms.

#### Best disease status achieved post transplant, prior to treatment modification

Refer to 100 day section.

Responses entered into 100 Day page in ASTRO for this section will copy to the Annual Follow Up pages where this question appears as this is a once only event.

#### Did graft failure occur?



Late or secondary graft failure may occur when the recipient meets criteria for engraftment but later develops the loss of chimerism (<5%) and/or persistent neutropenia.

### **First Relapse/Progression post transplant**

Responses entered into ASTRO for this section will copy to the other follow up pages where this question appears as this is a once only event.

Refer to 100 Day section.

### **New malignancy, lymphoproliferative or myeloproliferative disorder post transplant**

Include:

- Skin cancers
- Post transplant lymphoproliferative disorders
- Benign conditions, with the potential of developing into a malignancy e.g. CIN (early stages), pleomorphic adenoma

Do not report:

- transformation of the primary disease (the indication for the transplant)
- progression of the primary disease e.g. plasmacytoma develops into myeloma, MDS into AML.
- recurrence of a prior malignancy, (malignancy reported in the pre-transplant history)

### **Performance Status at this year's follow-up**

Use Karnofsky (patients 16 years and older) or Lansky score (patients less than 16 years) to determine the score (10-100) that best represents the recipient's activity status at the requested time point.

### **Audit status: Estimate or documented?**

Indicate if the Performance Score has been documented in the recipient's notes or correspondence (documented) or derived from the notes or correspondence (estimated)

## Chronic Graft Versus Host Disease

Complete for allogeneic transplants only.

The diagnosis of acute and chronic GVHD is based on clinical and histological features previously it had been based on the time of onset i.e. before or after 100 days. For example, if cGVHD is diagnosed at d+85, then this should still be entered on the 1<sup>st</sup> Annual Follow Up form.

### Is patient currently on immunosuppression?

Indicate whether the recipient is taking systemic steroids (e.g. prednisone) or non-steroidal immunosuppressive agents (e.g. cyclosporine, mycophenolate) to treat or prevent GVHD within this reporting period.

Options: Yes/No/Not applicable/Unknown

### Immunosuppression date ceased

Report the date within this reporting period

### Was Chronic GvHD present during this period?

Indicate if the patient developed chronic GvHD within the reporting period. If “Yes” is selected in ASTRO, the associated fields display.

### Date of first incidence of chronic GvHD

Report the first incidence only

The response entered into ASTRO for this question will copy to the other Annual Follow Up pages where this question appears as this is a once only event.

### Maximum grade of chronic GVHD during this period (NIH criteria)

Category	Number of affected organs or sites	Maximum severity score in affected organs or sites
Mild	1 – 2 organs (excluding lung)	1
Moderate	3 or more organs	1
	Any organ	2 (or lung score of 1)
Severe	Any organ	3 (or lung score 2 or 3)

Maximum grade is based on the following: NIH Consensus Criteria 2014  
[www.ncbi.nlm.nih.gov/pmc/articles/PMC4329079](http://www.ncbi.nlm.nih.gov/pmc/articles/PMC4329079)

Options: Mild/Moderate/Severe/unknown

### Maximum extent of chronic GvHD

Indicate the maximum extent of chronic GvHD during this reporting period using following criteria (Sullivan KM, Blood 1981: 57:267)

<b>Limited:</b> Localised skin involvement resembling localised scleroderma with or without liver involvement No other organ involvement
<b>Extensive:</b> Generalised skin and/or multiple organ involvement

### Organs affected

Tick as many checkboxes as applicable to include all the organs that were affected during the follow up period.

Data entered in this section should be relevant for this follow up period only. The follow up period will commence from the previous year's report up to the next anniversary of the transplant.

### Donor cellular infusion

Complete for Allogeneic transplants only.

Report donor cellular infusions given to the recipient up to the first year post transplant. Infusions given after this period are not required to be reported.

Refer to 100 Day section for details.

*These will be reported in the Cell Therapy forms when made available.*

## REFERENCES

1. Center for International Blood & Marrow Transplant Research (CIBMTR) Forms Manuals  
<https://www.cibmtr.org/manuals/fim>
2. MED-AB Forms Manual. A guide to the completion of the EBMT HSCT Med-AB Forms  
<https://www.ebmt.org/registry/data-collection>